Framework & School Survey Scoring Technical Guide | 2016-17

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Overview

Framework for Great Schools

The <u>Framework for Great Schools</u> sets forth six elements—Rigorous Instruction, Collaborative Teachers, Supportive Environment, Effective School Leadership, Strong Family-Community Ties, and Trust—that drive student achievement and school improvement.

The School Quality Reports share information on how schools are performing on these six elements, based on data from Quality Reviews, the NYC School Survey, chronic absenteeism, and movement of students with disabilities to less restrictive environments. This Technical Guide describes the methodology used to convert this data into Framework scores and ratings.

Framework Report Sections

The School Quality Reports share ratings and information on how schools are performing on the six Framework elements.

Rigorous Instruction: This section looks at whether curriculum and instruction are designed to engage students, foster critical-thinking skills, and are aligned to the Common Core. This section draws upon data from the Quality Review and the NYC School Survey.

Collaborative Teachers: This section looks at whether teachers participate in opportunities to develop, grow, and contribute to the continuous improvement of the school community. This section draws upon data from the Quality Review and the NYC School Survey.

Supportive Environment: This section looks at whether the school establishes a culture where students feel safe, challenged to grow, and supported to meet high expectations. This section draws upon data from the Quality Review, the NYC School Survey, chronic absenteeism (or average change in student attendance, for some school types), and movement of students with disabilities to less restrictive environments.

Effective School Leadership: This section looks at whether school leadership inspires the school community with a clear instructional vision and effectively distributes leadership to realize this vision. This section draws upon data from the NYC School Survey and the Quality Review.

Strong Family-Community Ties: This section looks at whether the school forms effective partnerships with families to improve the school. This section draws

upon data from the NYC School Survey and the Quality Review.

Trust: This section looks at whether relationships between administrators, educators, students, and families are based on trust and respect. This section draws upon data from the NYC School Survey.

Framework Scores and Ratings

Framework scores are calculated on a 1.00 – 4.99 scale. Framework element ratings are presented on a four-level scale. In the School Quality Guide, the four levels are called Exceeding Target, Meeting Target, Approaching Target, and Not Meeting Target. In the School Quality Snapshots, the four levels are called Excellent, Good, Fair, and Poor.

Framework ratings are calculated for early childhood schools, elementary schools, middle schools, K-8 schools, secondary schools, high schools, K-12 schools, transfer high schools, charter schools, District 75 schools, and YABC programs. They are not produced for District 79 programs and schools that are closing in June 2017.

Additional Notes

- In the June 2017 release of the 2016-17 Framework results, the Framework element rating for Supportive Environment is N/A for all schools because we do not yet have 2016-17 data for chronic absenteeism and movement of students with disabilities to less restrictive environments. The Supportive Environment rating, incorporating those metrics, will be included in the Fall 2017 release of the 2016-17 School Quality Reports.
- In the June 2017 release of the 2016-17 Framework results, the Framework element ratings for all elements except Trust are N/A for schools with pending 2016-17 Quality Review Reports (that were not finalized by May 31, 2017) because the finalized Quality Review results are needed to calculate those ratings. The Framework ratings for those schools, incorporating their Quality Review results, will be included in the Fall 2017 release of the 2016-17 School Quality Reports.

Framework Elements Metrics and Data Sources

The sections for the six Framework elements draw from the following data sources:

Section	Data Sources
Rigorous Instruction	NYC School Survey
	Quality Review indicators 1.1, 1.2, 2.2
Collaborative	NYC School Survey
Teachers	Quality Review indicators 4.1, 4.2
Supportive	NYC School Survey
Environment	 Quality Review indicators 1.4, 3.4
	 Chronic absenteeism (or average change in student attendance, for some school types)
	 Movement of students with disabilities to less restrictive environments
Effective School	NYC School Survey
Leadership	Quality Review indicators 1.3, 3.1, 5.1
Strong Family-	NYC School Survey
Community Ties	Quality Review indicators 3.4
Trust	NYC School Survey

Quality Review

The Framework ratings incorporate results from the school's most recent Quality Review (that took place after August 2014) on the following indicators:

- **1.1** Rigorous, engaging, and coherent curricula aligned to the Common Core Learning Standards.
- **1.2** Research-based, effective instruction that yields high quality student work.
- **1.3** Aligned resource use to support instructional goals that meet students' needs.
- **1.4** Structures for a positive learning environment, inclusive culture, and student success.
- **2.2** Curricula-aligned assessment practices that inform instruction.
- 3.1 School-level theory of action and goals shared by the school community.
- **3.4** A culture of learning that communicates and supports high expectations.
- **4.1** Support and evaluation of teachers through the Danielson Framework and analysis of learning outcomes
- **4.2** Teacher teams engaged in collaborative practice using the inquiry approach to improve classroom practice.

5.1 Regularly evaluate school-level decisions with a focus on the Common Core Learning Standards.

School that received Quality Reviews during 2016-17 (with a finalized Quality Review Report by May 31, 2017) will have ratings on all ten indicators. Schools that received their latest Quality Review in 2015-16 or 2014-15 will have ratings on five indicators: 1.1, 1.2, 2.2, 3.4, and 4.2.

In the June 2017 release of 2016-17 Framework results, schools that received a Quality Review during 2016-17 but did not have a finalized Quality Review Report by May 31, 2017 will receive N/A on all Framework element ratings except Trust. The other Framework ratings for those schools, incorporating their Quality Review results, will be included in the Fall 2017 release of the 2016-17 School Quality Reports.

For additional information about the Quality Review, please visit http://schools.nyc.gov/Accountability/tools/review/default.htm.

NYC School Survey

The NYC School Survey is administered annually to parents, teachers, and students in 6th grade and above. The survey was designed to gather information from school communities on the six elements of the Framework for Great Schools.

The survey is organized as groups of questions relating to a measure, and groups of measures relating to an element.

• **Example:** The element of Rigorous Instruction is composed of five measures: Common Core Shifts in Literacy, Common Core Shifts in Math, Course Clarity, Quality of Student Discussion, and Academic Press. The NYC School Survey includes groups of questions related to each of those measures.

See Appendix B for a detailed explanation of the element-measure-question survey structure.

Question-Level Percent Positive

For each survey question, we calculate the percentage of "positive" responses (excluding "I don't know" or missing responses from the denominator).

Positive responses are defined as those in the favorable half of response options (i.e., out of four possible response options, the two most favorable options are treated as positive responses; out of six possible response options, the three most favorable are treated as positive responses).

Measure-Level Percent Positive

For each measure, we calculate the percentage of positive responses. This value is the average of the percent positives of all the questions that fall within the measure.

Element-Level Percent Positive

For each element, we calculate the percentage of positive responses. This value is not simply the straight average of the percent positives of all the questions that fall within the element. Instead, this value is the average of the measure-level percent positives for all the measures within the element. (For example, the percent positive for the Rigorous Instruction element is the average of the percent positives on its five measures: Common Core Shifts in Literacy, Common Core Shifts in Math, Course Clarity, Quality of Student Discussion, and Academic Press.)

For additional information about the survey, please visit <u>http://schools.nyc.gov/surveys</u> or email <u>surveys@schools.nyc.gov</u>

Other Metrics

Percentage of Students with Attendance Rates of 90% or Higher (Early Childhood, Elementary, Middle, K-8, High Schools)

This metric shows the percentage of students at the school with attendance rates of 90% or higher. Because chronic absenteeism is defined as students with attendance rates below 90%, this metric shows the percentage of students who are <u>not</u> chronically absent.

Each student's attendance rate is calculated by adding together the total number of days when the student was present and dividing it by the total number of days on register for the student at the school (the sum of the days when the student was present and the days when the student was absent). If a student's total number of days on register at the school is less than 20, the student's attendance rate is treated as N/A and the student does not contribute to this metric.

Pre-K attendance is excluded for any school that has a Pre-K grade. For K-12 schools, this metric is calculated separately for the K-8 grades and 9-12 grades. Similarly, for 6-12 schools, the metric is calculated separately for the 6-8 grades and the 9-12 grades.

This metric will not be available in the June 2017 release of 2016-17 Framework results. It will be included in the Fall 2017 release of the 2016-17 School Quality Reports.

► Average Change in Student Attendance (Transfer High Schools, YABC Programs, District 75 Schools)

This metric shows the average change in attendance rate for the students at the school under evaluation. This measure looks at two pieces of information for each student:

- Student's attendance rate for 2014-15. (This student attendance rate is the aggregate rate from all New York City public school(s) the student attended in 2014-15)
- Student's attendance rate for 2015-16. (This student attendance rate includes only the rate at the school under evaluation)

To be included in this measure a student must have an attendance rate for the 2014-15 school year with a minimum aggregate of 40 days on register at any New York City school(s) during that year. In addition, during the 2015-16 school year, the student must have been on the register of the school under evaluation for at least 40 days.

The average change in the student attendance rate for each school is calculated by taking the average of change in attendance rate from 2014-15 to 2015-16 for all students at the school under evaluation. (This metric is expressed in percentage points.)

This metric will not be available in the June 2017 release of 2016-17 Framework results. It will be included in the Fall 2017 release of the 2016-17 School Quality Reports.

► Movement of Students with Disabilities to Less Restrictive Environments (Non-District 75 Schools)

This measure recognizes schools that educate students with disabilities in the least restrictive environment that is educationally appropriate. Students with an IEP during any of the last four school years are sorted into four tiers based on primary program recommendations and the amount of time spent with general education peers, as of the end of September of each year. The denominator for this measure includes all K-8 students with tier two or higher in any of the years 2014-15, 2013-14, or 2012-13. Students who are newly certified in 2015-16 are excluded.

The numerator contribution of each student is the highest tier number from the last four school years minus the tier number for 2015-16. This number can range from zero (for students who are in their highest tier in 2015-16) to three (for students who were previously in Tier Four and are in Tier One in 2015-16). Negative numbers are not possible; students who move to a more restrictive environment count the same as if they had always been in that setting.

Tier One – General education

- No IEP, or
- IEP with a recommendation of related services only

Tier Two - 80-100% of time with general education peers

- Primary recommendation of SETSS or ICT, or
- Primary recommendation of self-contained, spend 80-100% of instructional periods with general education peers

Tier Three – 40-79% of time with general education peers

 Primary recommendation of self-contained, spend 40-79% of instructional periods with general education peers

Tier Four – 0-39% of time with general education peers

 Primary recommendation of self-contained, spend 0-39% of instructional periods with general education peers

Students who start a less restrictive program at the beginning of 2015-16 count immediately, but if they start the less restrictive program mid-year, they will not contribute to the metric until the next year.

This metric will not be available in the June 2017 release of 2016-17 Framework results. It will be included in the Fall 2017 release of the 2016-17 School Quality Reports.

► Movement of Students with Disabilities to Less Restrictive Environments (District 75 Schools)

This represents the percentage of students at a District 75 school who, in a given school year, are integrated into a less restrictive environment within the same District 75 school or a different District 75 school. To be included in this metric, a student must:

- Be on the school's October 31, 2014 audited register
- Be on any D75 school's October 31, 2015 audited register

Students contribute to the numerator of this metric if their class assignment on July 15, 2016 is less restrictive than the class assignment on July 15, 2015. Students are attributed by the October 2014 register because that school prepared the student for integration into the less restrictive environment.

This metric will not be available in the June 2017 release of 2016-17 Framework results. It will be included in the Fall 2017 release of the 2016-17 School Quality Reports.

► Percentage of Students Integrated into Non-District 75 Environment (District 75 Schools)

This represents the percentage of students at a District 75 school who, in a given school year, are integrated or re-integrated into a non-D75 education environment. Students contribute to the numerator of this metric if they are on the October 31, 2014 audited register of a District 75 school and are on the October 31, 2015 audited register of a non-District 75 school. The credit is attributed to the District 75 school that the student was assigned to in October 2014. The denominator for this metric includes all students on the audited register of the District 75 school in October 2014.

This metric will not be available in the June 2017 release of 2016-17 Framework results. It will be included in the Fall 2017 release of the 2016-17 School Quality Reports.

Framework Elements Scoring and Ratings

Scoring and Rating Structure

Element ratings on the Framework Report are generated through a multi-step process:

- Step 1: Raw metric values are collected from the data sources.
- Step 2: Raw metric values are converted into standardized scores¹, on a scale from 1.00 4.99.
- **Step 3:** The standardized scores are combined to generate an element score.
- Step 4: The element score is used to generate an element rating.

This Technical Report explains this multi-step process for the different data sources and elements. It explains how raw metric values are converted into standard scores for Quality Reviews, the NYC School Survey, chronic absenteeism (and average change in student attendance), and movement of students with disabilities to less restrictive environments. It explains how the standardized scores are combined to generate element scores for the six elements—Rigorous Instruction, Collaborative Teachers, Supportive Environment, Effective School Leadership, Strong Family-Community Ties, and Trust. It then explains how ratings are determined from the element scores.

Raw Values and Standardized Scores

This section explains how raw metric values are converted into standard scores for each of the different data sources in the Framework Report.

Quality Reviews

Quality Review indicator ratings are converted into standardized scores as follows:

QR Rating	Standardized Score
Well Developed	4.99
Proficient	3.50
Developing	2.00
Under Developed	1.00

¹ "Standardized scores" place the raw scores on different metrics onto a common scale, so that scores on different metrics can be combined.

NYC School Survey

For survey scoring, schools are categorized by a survey school type, and are compared to other schools of the same survey school type.²

The scoring method for the NYC School Survey follows the structure of the survey, which was organized as groups of questions relating to a measure, and groups of measures relating to an element.³

The following process is used to generate a *standardized survey element score*:

- (1) Question-level percent positive (percent positive responses to a question) \downarrow
- (2) Measure-level percent positive (average of the question-level percent positive values for all questions within the measure)
- (3) Standardized measure score (standardized version of measure-level percent positive)
- (4) Standardized survey element score (average of standardized measure scores for all measures within the element)

Each step in this process is described in detail below.

(1) Question-level percent positive

For each question, this metric is the percent of "positive" responses (excluding "I don't know" or missing responses from the denominator).

"Positive" responses are defined as those in the favorable half of response options (i.e., out of four possible response options, the two most favorable options are treated as positive responses; out of six possible response options, the three most favorable are treated as positive responses).

(2) Measure-level percent positive

This metric is the average of the *question-level percent positive* values for all questions within the measure.

For example, Outreach to Parents is a measure within the element of Strong Family-Community Ties. The Outreach to Parents percent positive is the average of the question-level percent positive values on all the Outreach to Parents questions.

(3) Standardized measure score

This metric is a standardized version of the *raw measure score*, which is converted to a scale that reflects standard deviations away from the mean. This standard score uses the "percent of range" method⁴ from the School Quality Guides, which shows where the school's score falls with a range of two standard deviations above and below the city average (for the same school type).⁵

² See Appendix A for a detailed explanation of the survey school types.

³ See Appendix B for a detailed explanation of the element-measure-question survey structure.

⁴ The "percent of range" method is explained in detail on page 18 of the <u>2015-16 Educator Guide to the</u> <u>School Quality Reports</u>.

⁵ See Appendix A for more information on comparable school types for survey scoring.

For example, if the school is an Early Childhood School, the average and standard deviation are calculated based on the results from all Early Childhood Schools throughout the city.

The standard measure score is calculated in three steps.

First, the "percent of range" is calculated: percent of range = (measure percent positive – bottom of range) / (top of range – bottom of range), where top of range = city average + 2 standard deviations and bottom of range = city average – 2 standard deviations. The top of the range cannot exceed the maximum possible value of 100 and the bottom of the range cannot fall below the minimum possible value of 0.

Second, the percent of range is converted from a 0.00 to 1.00 scale to a 1.00 to 4.99 scale.

Third, an additional rule is applied: If the raw *measure percent positive* value meets certain thresholds, then the *standardized measure score* cannot fall below certain floor levels:

If raw measure percent positive is at least	Standard measure score cannot fall below…
95%	4.00
90%	3.00
85%	2.00

(4) Standardized survey element score

This metric is the average of the *standardized measure scores* for all measures within the element.

For example, the Strong Family-Community Ties element contains two measures: Teacher Outreach to Parents and Parent Involvement in the School. The school's *standardized survey element score* for the Strong Family-Community Ties element is the average of the *standardized measure score* for the Teacher Outreach to Parents measure and the *standardized measure score* for the Parent Involvement in the School measure.

Low Response Rates and Numbers

Each element in the Framework draws primarily from questions asked of one (or two) respondent groups. If there was a low response rate or very few responses submitted by that respondent group, then the *standardized survey element score* will be N/A. The following table describes these situations:

Element	Standardized survey element score will be N/A if		
Rigorous Instruction	 Teacher response rate was less than 30%, or Fewer than 5 teachers responded. 		
Collaborative Teachers	 Teacher response rate was less than 30%, or Fewer than 5 teachers responded. 		
Supportive Environment	For Elementary Schools and Early Childhood Schools: • Teacher response rate was less than 30%, or		

 Fewer than 5 teachers responded.
For other school types:Student response rate was less than 30%, orFewer than 5 students responded.
 Teacher response rate was less than 30%, or Fewer than 5 teachers responded.
 Average of teacher response rate and parent response rate was less than 30%, or Fewer than 5 teachers responded, or Fewer than 5 parents responded.
 Average of teacher response rate and parent response rate was less than 30%, or Fewer than 5 teachers responded, or Fewer than 5 parents responded.

Percentage of Students with 90% Attendance (Early Childhood, Elementary, Middle, K-8, High Schools)

The standardized score for this metric is based on how the school performed against its targets set forth in the previous year's School Quality Reports. The first digit reflects the highest target level achieved, and the two digits after the decimal point reflect how close the school was to the next higher target. (For example, a score of 3.50 means that the school met its Meeting Target level, and was halfway between the Meeting Target level and the Exceeding Target level.)

We calculate and report this metric separately for EMS grades and HS grades. If a school spans both EMS grades and HS grades (and received metric values for both school types), the average of the EMS standardized score and the HS standardized score is used for Framework scoring.

This metric will not be available in the June 2017 release of 2016-17 Framework results. It will be included in the Fall 2017 release of the 2016-17 School Quality Reports.

Average Change in Student Attendance (Transfer High Schools, YABC Programs, District 75 Schools)

The standardized score for this metric is based on how the school performed against its targets set forth in the previous year's School Quality Reports. The first digit reflects the highest target level achieved, and the two digits after the decimal point reflect how close the school was to the next higher target. (For example, a score of 3.50 means that the school met its Meeting Target level, and was halfway between the Meeting Target level and the Exceeding Target level.)

This metric will not be available in the June 2017 release of 2016-17 Framework results. It will be included in the Fall 2017 release of the 2016-17 School Quality Reports.

Movement of Students with Disabilities to Less Restrictive Environments (Non-District 75 Schools)

The standardized score for this metric is based on the targets published in the previous year's School Quality Reports. The first digit reflects the highest target level achieved, and the two digits after the decimal point reflect how close the school was to the next higher target. (For example, a score of 3.50 means that the school met its Meeting Target level, and was halfway between the Meeting Target level and the Exceeding Target level.)

We calculate and report this metric separately for EMS grades and HS grades. If a school spans both EMS grades and HS grades (and received metric values and scores for both school types), the average of the EMS standard score and the HS standard score for less restrictive environment is used for Framework scoring.

This metric will not be available in the June 2017 release of 2016-17 Framework results. It will be included in the Fall 2017 release of the 2016-17 School Quality Reports.

Movement of Students with Disabilities to Less Restrictive Environments (District 75 Schools)

The standardized score for this metric is based on how the school performed against its targets set forth in the previous year's School Quality Reports. The first digit reflects the highest target level achieved, and the two digits after the decimal point reflect how close the school was to the next higher target. (For example, a score of 3.50 means that the school met its Meeting Target level, and was halfway between the Meeting Target level and the Exceeding Target level.)

This metric will not be available in the June 2017 release of 2016-17 Framework results. It will be included in the Fall 2017 release of the 2016-17 School Quality Reports.

Percentage of Students Integrated into Non-District 75 Environments (District 75 Schools)

The standardized score for this metric is based on how the school performed against its targets set forth in the previous year's School Quality Reports. The first digit reflects the highest target level achieved, and the two digits after the decimal point reflect how close the school was to the next higher target. (For example, a score of 3.50 means that the school met its Meeting Target level, and was halfway between the Meeting Target level and the Exceeding Target level.)

This metric will not be available in the June 2017 release of 2016-17 Framework results. It will be included in the Fall 2017 release of the 2016-17 School Quality Reports.

Element Scores

Weighted Average of Standardized Scores

The school's element scores are a weighted average of the standardized scores from the data sources within each element category. The weights that are applied depend on whether the school received a Quality Review in 2016-17 (with ten rated indicators) or whether the school received its most recent Quality Review in 2014-15 or 2015-16 (with five rated indicators). If the survey response rates and numbers fall below specified thresholds, then the element score will be N/A.

The following table shows how standardized scores from the different data sources are weighted and combined to produce the element scores:

Weighted Combinations of Data Scores to Produce Element Scores

	Different Weights For Different Scenarios			
	Received Quality Review in 2016-17	Most Recent Quality Review from 2014-15 or 2015-16	Low Survey Reponses	
Rigorous Instruction				
Survey (Rigorous Instruction)	25%	25%		
Quality Review 1.1	25%	25%	If teacher response rate is less than 30% or fewer than 5 responses	
Quality Review 1.2	25%	25%	Element score is N/A.	
Quality Review 2.2	25%	25%		
		1	I	
Collaborative Teachers				
Survey (Collaborative Teachers)	50%	50%	If teacher response rate is less than 30%	
Quality Review 4.1	25%	—	or fewer than 5 responses	
Quality Review 4.2	25%	50%	Element score is N/A.	
Supportive Environment				
Survey (Supportive Environment)	35%	35%		
Quality Review 1.4	15%	_	If teacher response rate is less than 30%	
Quality Review 3.4	15%	30%	or fewer than 5 responses (for ES); If student response rate is less than 30% or	
Chronic Absenteeism (Average Change in Attendance for Transfer Schools, YABCs, and District 75 Schools)	30%	30%	fewer than 5 responses (for non-ES)	
Less Restrictive Environment	5%	5%		

Different Weights For Different Scenarios

Weighted Combinations of Data Scores to Produce Element Scores

	Received Quality Review in 2016-17	Most Recent Quality Review from 2014-15 or 2015-16	Low Survey Reponses
Effective School Leadership			
Survey (Effective School Leadership)	40%	100%	If teacher response rate is less than 30%
Quality Review 1.3	20%	_	or fewer than 5 responses
Quality Review 3.1	20%	_	Element score is N/A.
Quality Review 5.1	20%	_	Liement score is N/A.
Strong Family-Community Ties Survey (Strong Family- Community Ties) Quality Review 3.4	85% 15%	85% 15%	If average of teacher and parent response rates is at less than 30% or fewer than 5 teacher or parent responses Element score is N/A.
Trust			
Survey (Trust)	100%	100%	If average of teacher and parent response rates is at less than 30% or fewer than 5 teacher or parent responses Element score is N/A.

Different Weights For Different Scenarios

NOTE: In the June 2017 release of the 2016-17 Framework results, **the Framework element rating for Supportive Environment is N/A for all schools** because we do not yet have 2016-17 data for chronic absenteeism and movement of students with disabilities to less restrictive environments. The Supportive Environment rating, incorporating those metrics, will be included in the Fall 2017 release of the 2016-17 School Quality Reports.

Examples:

- If the school received a finalized Quality Review Report in 2016-17, the school's element score for Collaborative Teachers = 0.50 x standardized survey element score for Collaborative Teachers + 0.25 x QR 4.1 standardized score + 0.25 x QR 4.2 standardized score.
- If the school's most recent Quality Review was in 2014-15, the school's element score for Collaborative Teachers = 0.50 x standardized survey element score for Collaborative Teachers + 0.50 x QR 4.2 standardized score.
- For a middle school, if the student response rate was under 30%, the school's element score for Supportive Environment is N/A.

Missing Data

If Quality Review data is unavailable for a district school, its element scores will be N/A for all elements except for Trust.

For charter schools and YABCs, which do not receive Quality Reviews, any weight that would be applied to the Quality Review is shifted to the other data sources in the element. For example, a charter school's element score for Rigorous Instruction is based 100% on the survey.⁶

If a school does not have a score for Chronic Absenteeism, Average Change in Student Attendance, or Less Restrictive Environment, the weight for that metric is shifted to the other data sources in the element.

Element Ratings

Element ratings are assigned based on which category the school's element score falls within:

Rating	Element Score
Excellent (4 bars)	4.00 to 4.99
Good (3 bars)	3.00 to 3.99
Fair (2 bars)	2.00 to 2.99
Poor (1 bar)	1.00 to 1.99

Schools designated for phase-out or in their first year will not receive Framework element scores or ratings.

⁶ Because standardized scores based on Quality Reviews and survey results can be systematically different from standardized scores based on surveys only in some elements, a rescaling is applied to the element scores for charter schools and YABCs in Rigorous Instruction, Collaborative Teachers, Supportive Environment, and Strong Family-Community Ties. The rescaling has the effect of putting the results for charter schools and YABCs (without Quality Reviews) on the same scale as the element scores of district schools (with Quality Reviews).

Appendix A

School Types for Survey Scoring

For purposes of survey scoring, schools are categorized by school type as outlined below, and are compared to other schools of the same school type:

School type	Grade range
Early Childhood School	PK-3, PK-5, K, K-1, K-2, K-3
Elementary School	PK-4, PK-5, K-4, K-5, K-6, 2-5, 3-5, 4-5
Elementary / Middle School	PK-5, PK-7, PK-8, K-7, K-8, 3-8, 4-8
Elementary / Middle / High School	PK-11, PK-12, K-9, K-10, K-11, K-12, 3-12
Middle School	5-8, 6, 6-7, 6-8, 5
Middle / High School	5-11, 5-12, 6-9, 6-10, 6-11, 6-12, 7-12, 8-12
High School	8-12, 9, 9-10, 9-11, 9-12
Transfer School	Transfer Schools serving grades from 9-12
District 75 School	District 75 Schools
YABC	YABC

Appendix B

Element-Measure-Question Structure

The following tables show the measures within each element, the respondent group(s) that were asked about each measure in the NYC School Survey, and the questions that were asked.

Element	Non-e	Non-elementary schools			Elementary schools	
Measure	Students	Teachers	Parents	Teachers	Parents	
Rigorous Instruction						
Academic Press	\checkmark			\checkmark		
Common Core shifts in literacy	•	✓		• ✓		
Common Core shifts in metacy		↓		✓		
Course clarity	✓	•		•		
Quality of student discussion	•	✓		\checkmark		
Quality of student discussion		•		•		
Collaborative Teachers						
Cultural awareness and inclusive classroom						
instruction	\checkmark	\checkmark		\checkmark		
Innovation and collective responsibility		✓		✓		
Peer collaboration		✓		✓		
Quality of professional development		· √		√ 		
School commitment		✓		✓		
School commence						
Supportive Environment						
Classroom behavior	\checkmark			\checkmark		
Guidance	\checkmark			✓		
Peer support for academic work	\checkmark			\checkmark		
Personal attention and support	\checkmark					
Safety	\checkmark			\checkmark		
Social-emotional		\checkmark		\checkmark		
Effective School Leadership						
Inclusive leadership			\checkmark		\checkmark	
Instructional leadership		\checkmark		\checkmark		
Program coherence		\checkmark		\checkmark		
Teacher influence		\checkmark		\checkmark		
Strong Family-Community Ties						
Outreach to parents		\checkmark	\checkmark	\checkmark	\checkmark	
Parent involvement in school			\checkmark		\checkmark	
Trust						
Parent-principal trust			\checkmark		\checkmark	
Parent-teacher trust			✓		\checkmark	
Student-teacher trust	\checkmark					
Teacher-principal trust		✓		\checkmark		
Teacher-teacher trust		\checkmark		\checkmark		

Rigorous Instruction

Questions included within each measure in the Rigorous Instruction element.

Academic Press

 	-	
		How much do YOU agree with the following statements?
S	q4a	I'm learning a lot in my classes at this school to prepare me for the next level or grade.
S	q4g	My classes at this school really make me think critically.
		1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree
		In how many of your classes
S	q5a	are you challenged?
S	q5b	do your teachers ask difficult questions on tests?
S	q5c	do your teachers ask difficult questions in class?
S	q5d	do you work in small groups?
S	q5e	do your teachers want students to become better thinkers, not just memorize things?
0	900	1 = None, 2 = A few, 3 = Most, 4 = All
		How many students in your classes
*Т	q19a	feel challenged?
*Т	q190 q19c	have to work hard to do well?
	•	
*T *-	q19e	respond to challenging test questions?
*T	q19g	respond to challenging questions in class?
		1 = None, 2 = Some, 3 = A lot, 4 = All
* Th	ese tead	cher questions are included for scoring only for Elementary Schools and Early Childhood Schools, where

Common Core shifts in literacy

students do not take the NYC School Survey.

		For general/self-contained/literacy/science/social studies: In planning my last instructional unit, I had
		the resources and tools I needed to include multiple opportunities for
Т	q15a	building students' knowledge through content-rich non-fiction.
Т	q15b	reading and writing experiences grounded in evidence from text, both literary and informational.
Т	q15c	students to interact with complex grade-level text.
Т	q15d	students to interact with academic language.
		1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 =Strongly agree
`omn	non Co	re shifts in math

Common Core shifts in math

For general/self-contained/math/science: In planning my last instructional unit, I had the resources and tools I needed to include multiple opportunities for ...

- focusing deeply on the concepts emphasized in the standards to help students build strong Т q16a foundations for learning.
- creating coherent progressions within the standards from previous grades to current grade so student Т q16b knowledge/skills build onto previous learning as foundations for math concepts.
- developing students' conceptual understanding, procedural fluency, and their ability to apply math in Т q16c context.

1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree

Course clarity

In how many of your classes, this school year, do YOU feel the following statement is true?

- S I learn a lot from feedback on my work. q2a
- S It's clear what I need to do to get a good grade. q2b
- S The work we do in class is good preparation for our class tests. q2c
- S The homework assignments help me learn the course material. q2d

S q2e I know what my teacher wants me to learn in class. 1 = None, 2 = A few, 3 = Most, 4 = All

Quality of student discussion

		How many students in your classes
Т	q18a	build on each other's ideas during class discussions?
-	4.01	

- T q18b use data or text references to support their ideas?
- T q18c show that they respect each other's ideas?
- T q18d provide constructive feedback to their peers/teachers?
- T q18e participate in class discussions at some point?
 - 1 = None, 2 = Some, 3 = A lot, 4 = All

Collaborative Teachers

Questions included within each measure in the Collaborative Teachers element.

Cultural awareness and inclusive classroom instruction

		How much do YOU agree with the following statements?
S	q1f	My teachers use examples of students' different cultures/backgrounds/families in their lessons to make
		learning more meaningful for me.
S	q1g	I see people of many races, ethnicities, cultures, and backgrounds represented in the curriculum.
S	q1h	In general, my teachers treat students from different cultures or backgrounds equally.
S	q1i	I feel that my teachers respect my culture/background.
S	q1j	In general, my teachers make their lessons relevant to my everyday life experiences.
S	q1k	In general, my teachers present positive images of people from a variety of races, ethnicities, cultures,
		and backgrounds.
		1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree, 5 = I don't know
		Please mark the extent to which you disagree or agree with each of the following. I am able to
Т	a) 2	receive support around how to incorporate students' cultural and linguistic backgrounds in my practice.
Т	q2a q2b	use my students' prior knowledge to make my lessons relevant to their everyday life.
Т	q2c	modify instructional activities and materials to meet the developmental needs and learning interests of
т	a: 2 al	all my students.
T	q2d	adapt instruction to ensure it represents all cultures/backgrounds positively.
Т	q2e	design appropriate instruction that is matched to students' need (e.g. English language learners (ELL)
		proficiency and students with disabilities).
Т	q2f	apply my knowledge of parents' various cultural backgrounds when collaborating with them regarding
		their child's educational progress.
Т	q2g	develop appropriate Individual Education Programs for my students with disabilities.
Т	q2h	distinguish linguistic/cultural differences from learning difficulties.
		1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree, 5 = I don't know

Innovation and Collective responsibility

		How many teachers at this school
Т	q1a	help maintain discipline in the entire school, not just their classroom?
Т	q1b	are actively trying to improve their teaching?
Т	q1c	take responsibility for improving the school?
Т	q1d	are eager to try new ideas?
Т	q1e	feel responsible that all students learn?
		1 = None, 2 = Some, 3 = A lot, 4 = All

Peer collaboration

P	lease mark the extent to which you disagree or agree with each of the following. At this school
Ba te	eachers design instructional programs (e.g. lessons, units) together.
3b te	eachers make a conscious effort to coordinate their teaching with instruction at other grade levels.
Bc tł	ne principal/school leader, teachers, and staff collaborate to make this school run effectively.
3d te	eachers talk with one another about instruction.
1	= Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree
3	a te b te c th d te

Quality of professional development

		Please mark the extent to which you disagree or agree with each of the following. Overall, my
		professional development experiences this year have
Т	q10a	been sustained and coherently focused, rather than short-term and unrelated.
Т	q10b	included enough time to think carefully about, try, and evaluate new ideas.
Т	q10c	included opportunities to work productively with colleagues in my school.

T q10d included opportunities to work productively with teachers from other schools. 1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree

School commitment

		Please mark the extent to which you disagree or agree with each of the following.
Т	q4a	I usually look forward to each working day at this school.
Т	q4b	I would recommend this school to parents/guardians seeking a place for their child.
Т	q4h	I would recommend this school to other teachers as a place to work.
		1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree

Supportive Environment

Questions included within each measure in the Supportive Environment element.

Classroom behavior

S

In how many of your classes at this school do YOU feel most studen	ts
--	----

- listen carefully when the teacher gives directions? q6a
- S follow the rules in class? q6b
- S q6c pay attention when they are supposed to?
- S work when they are supposed to? q6d
- S q6e behave well even when the teacher isn't watching? 1 = None, 2 = A few, 3 = Most, 4 = All

How many students in your classes...

- Τ* listen carefully when the teacher gives directions? q19b
- Τ* q19d follow the rules in class?
- Τ* pay attention when they are supposed to? q19f
- Τ* q19h do their work when they are supposed to?
- Τ* q19i behave well in class even when the teacher isn't watching? 1 = None, 2 = Some, 3 = A lot, 4 = All

* These teacher questions are included for scoring only for Elementary Schools and Early Childhood Schools, where students do not take the NYC School Survey.

Guidance

		If you are a student in grades 6-8, ANSWER this question. If you are a student in grades 9-12, SKIP this question. How much do YOU agree with the following statements?
S	q9a	This school provides useful information to students about the application/enrollment process to high school.
S	q9b	This school provides guidance for the application process for high school.
S	q9c	This school educates families about the application/enrollment process for high school. 1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree
S	q10a	If you are a student in grades 9-12, ANSWER this question. How much do YOU agree with the following statements? Adults at this school (including teachers, administrators, counselors, and the principal) encourage me to continue my education after high school.
	•	
S	q10b	provide me with information about the college enrollment process (college selection and application process, financial aid process, course registration, etc.).
S	q10c	help me plan for how to meet my future career goals.
		1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree
		If you are a student in grades 9-12, ANSWER this question. How much do YOU agree with the following statements? Adults at this school (including teachers, administrators, counselors, and the principal)
S	q11a	help me consider which colleges to apply to.
S	q11b	show me options for how to pay for college (scholarship, grants, loans, work study programs, etc.).

q11b show me options for how to pay for college (scholarship, grants, loans, work study programs, etc.).

Peer support for academic work

- S q6f feel it is important to come to school every day?
- S q6g feel it is important to pay attention in class?
- S q6h think doing homework is important?
- S q6i try hard to get good grades?

1 = None, 2 = A few, 3 = Most, 4 = All

How many of the students in your class(es)...

- T* q22a feel it is important to come to school every day?
- T* q22b feel it is important to pay attention in class?
- T* q22c think doing homework is important?
- T* q22d try hard to get good grades?
 - 1 = None, 2 = Some, 3 = A lot, 4 = All

* These teacher questions are included for scoring only for Elementary Schools and Early Childhood Schools, where students do not take the NYC School Survey.

Personal attention and support

In how many of your classes, this school year, do YOU feel the following statement is true? My teachers...

- S q3a help me catch up if I am behind.
- S q3b notice if I have trouble learning something.
- S q3c give me specific suggestions about how I can improve my work in class.
- S q3d explain things a different way if I don't understand something in class.
- S q3e support me when I am upset.

1 = None, 2 = A few, 3 = Most, 4 = All

Safety

alet	alety		
S	q4h	How much do YOU agree with the following statements? Discipline is applied fairly in my school. 1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree	
S S S	q7a q7b q7c q7d	How much do you agree with the following statements? I feel safe outside around this school. traveling between home and this school. in the hallways, bathrooms, locker rooms, and cafeteria of this school. in my classes at this school. 1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree	
T* T* T* T*	q23a q23b q23c q23d	How much do you agree with the following statements? My students are safe outside around this school. traveling between home and this school. in the hallways, bathrooms, locker rooms, and cafeteria of this school. in my class(es).	

1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree

How much do you agree with the following statement?

T* q24 Discipline is applied to students fairly in my school.

1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree

* These teacher questions are included for scoring only for Elementary Schools and Early Childhood Schools, where students do not take the NYC School Survey.

Social-emotional

		How many adults at this school
Т	q20a	help students develop the skills they need to complete challenging coursework despite obstacles?
Т	q20b	tell their students they believe they can achieve high academic standards?
Т	q20c	teach critical thinking skills to students?
Т	q20d	teach students how to advocate for themselves?
Т	q20e	teach students the organizational skills needed to be prepared for their next level?
Т	q20f	recognize disruptive behavior as social-emotional learning opportunities?
Т	q20g	teach students the skills they need to regulate their behavior (i.e. by focusing their attention,
		controlling their emotions, or managing their thinking, behavior, and feelings)?
Т	q20h	have access to school based supports to assist in behavioral/emotional escalations?
		1 = None, 2 = Some, 3 = A lot, 4 = All, 5 = I don't know

Effective School Leadership

Questions included within each measure in the Effective School Leadership element.

Inclusive leadership

Please mark the extent to which you disagree or agree with each of the following statements about this school.

P q2b The principal/school leader encourages feedback from parents/guardians and the community through regular meetings with parent/guardian and teacher leaders.

1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree, 5 = I don't know

Please mark the extent to which you disagree or agree with each of the following statements. The principal/school leader at this school...

- P q3a is strongly committed to shared decision making.
- P q3b works to create a sense of community in the school.
 - q3c promotes family and community involvement in the school.
 - 1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree, 5 = I don't know

Instructional leadership

Ρ

Т

Please mark the extent to which you disagree or agree with each of the following. The principal/school leader at this school...

- q11a makes clear to the staff his or her expectations for meeting instructional goals.
- T q11b communicates a clear vision for this school.
- T q11c understands how children learn.
- T q11d sets high standards for student learning.
- T q11e sets clear expectations for teachers about implementing what they have learned in professional development.
- T q11f carefully tracks student academic progress.
- T q11g knows what's going on in my classroom.
- T q11h participates in instructional planning with teams of teachers.

1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree

Program coherence

-		
		Please mark the extent to which you disagree or agree with each of the following. At this school
Т	q8e	once we start a new program, we follow up to make sure that it's working.
Т	q8f	it is clear how all of the programs offered are connected to our school's instructional vision.
Т	q8g	curriculum, instruction, and learning materials are well coordinated across the different grade levels
		at this school.
		1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree

Teacher influence

Т	q9f	Please mark the extent to which you disagree or agree with each of the following. At this school the principal/school leader encourages feedback through regular meetings with parent and teacher leaders. 1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree
T T T	q12c q12d q12e	How much influence do teachers have over school policy in each of the areas below? Selecting instructional materials used in classrooms. Developing instructional materials. Setting standards for student behavior. 1 = No influence, 2 = Little, 3 = A moderate amount, 4 = A great deal of influence

Strong Family-Community Ties

Questions included within each measure in the Strong Family-Community Ties element.

Outreach to parents

Please mark the extent to which you disagree or agree with each of the following. At this school...

- T q9a parents/guardians are invited to visit classrooms to observe the instructional program.
- T q9c teachers understand families' problems and concerns.
- T q9d teachers work closely with families to meets students' needs.
- T q9e school staff regularly communicate with parents/guardians about how parents can help students learn.

1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree

Please mark the extent to which you disagree or agree with each of the following statements about this school.

- P q1a School staff regularly communicate with me about how I can help my child learn.
- P q1b I am invited to visit classrooms to observe instruction.
- P q1c I am greeted warmly when I call or visit the school.
- P q1d Teachers work closely with me to meet my child's needs.
- P q1e I feel well-informed by the communications I receive from my child's school.
- P q1h My child's school communicates with me in a language and in a way that I can understand. 1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree

Parent involvement in school

		Since the beginning of the school year, how often have you
Р	q4a	been asked or had the opportunity to volunteer time to support this school (for example, spent time
		helping in classrooms, helped with school-wide events, etc.)?
Ρ	q4b	communicated with your child's teacher about your child's performance?
Р	q4c	seen your child's projects, artwork, homework, tests, or quizzes?
		1 = Never, 2 = Rarely, 3 = Sometimes, 4 = Often
		During the school year, how likely are you to
Ρ	q6a	attend a general school meeting or school event (open house, back to school night, play, dance,
		sports event, or science fair)?
Ρ	q6b	go to a regularly scheduled parent-teacher conference with your child's teacher?
		1 = Very unlikely, 2 = Somewhat unlikely, 3 = Somewhat likely, 4 = Very likely

Trust

Questions included within each measure in the Trust element.

Parent-principal trust

Please mark the extent to which you disagree or agree with each of the following statements about this school.

- P q1i I feel respected by my child's principal/school leader.
- P q1j I trust the principal/school leader at his or her word (to do what he or she says that he or she will do).
- P q1k The principal/school leader is an effective manager who makes the school run smoothly.
- P q1l The principal/school leader at this school works hard to build trusting relationships with parents/ guardians like me.

1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree

Parent-teacher trust

Please mark the extent to which you disagree or agree with each of the following statements about this school.

- P q1f I feel respected by my child's teachers.
- P q1g Staff at this school work hard to build trusting relationships with parents/guardians like me.
 - 1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree

Please mark the extent to which you disagree or agree with each of the following statements about this school.

P q2a Teachers and parents/guardians think of each other as partners in educating children. 1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree, 5 = I don't know

Student-teacher trust

S

How much do YOU agree with the following statements?

- q4b There is at least one adult in the school that I can confide in.
- S q4c My teachers will always listen to students' ideas.
- S q4d My teachers always do what they say they will do.
- S q4e My teachers treat me with respect.
- S q4f When my teachers tell me not to do something, I know they have a good reason. 1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly agree

Teacher-principal trust

Please mark the extent to which you disagree or agree with each of the following.

- T q5a I feel respected by the principal/school leader at this school.
- T q5b The principal/school leader at this school is an effective manager who makes the school run smoothly.
- T q5c The principal/school leader has confidence in the expertise of the teachers at this school.
- T q5d I trust the principal/school leader at his or her word (to do what he or she says that he or she will do).
- T q5e At this school, It's OK to discuss feelings, worries, and frustrations with the principal/school leader.
- T q5f The principal/school leader takes a personal interest in the professional development of teachers.
- T q5g The principal/school leader looks out for the personal welfare of the staff members.
- T q5h The principal/school leader places the needs of children ahead of personal interests.
- T q5i The principal and assistant principals function as a cohesive unit.

1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly Agree

Teacher-teacher trust

		Please mark the extent to which you disagree or agree with each of the following.
Т	q4c	Teachers in this school trust each other.
Т	q4d	It's OK in this school to discuss feelings, worries, and frustrations with other teachers.
Т	q4e	Teachers respect other teachers who take the lead in school improvement efforts.

- T q4f I feel respected by other teachers at this school.
- T q4g Teachers at this school respect those colleagues who have a specific expertise. 1 = Strongly disagree, 2 = Disagree, 3 = Agree, 4 = Strongly Agree

Frequently Asked Questions

Are there any changes to the content of this year's surveys?

Like last year, the 2016-17 version of the NYC School Survey is aligned to the six elements of the Framework for Great Schools: Rigorous Instruction, Collaborative Teachers, Supportive Environment, Effective School Leadership, Strong Family-Community Ties, and Trust. Based on analysis of last year's results and participant feedback, the NYCDOE has made minor revisions to all three versions of the survey (parent/guardian, teacher, and student) this year.

The NYCDOE removed questions that did not distinguish well between schools or that respondents reported they did not have the information or insight to answer. Questions were also simplified and reworded to increase clarity and encourage more differentiating feedback from respondents. Finally, the NYCDOE added questions based on suggestions from various stakeholders and to improve the strength of existing measures.

Are schools penalized for low response rates?

While high participation rates help to provide broad and representative information about a school, response rates are reported for informational purposes and schools are not penalized for low response rates. If survey response rates or number of responses are very low, the section rating may be N/A. Further, survey results will be suppressed if certain minimum numbers of responses are not received for a particular respondent group.

How are response rates calculated?

The response rate is the number of surveys submitted divided by the number of people in the survey population.

Student The student survey population represents all students in the school who are enrolled in grades 6 through 12. Parent The parent survey population represents all parents of students in the school. Parents with more than one child in the same school are counted only once in the parent survey population. Parents with children in more than one school are counted once in each of those schools, and are invited to fill out a survey for each school. Teacher District: For district schools, the teacher survey population represents all full-time teachers and guidance counselors in the school. Charter: Prior to the start of survey administration, charter schools are asked to submit a roster listing all staff members eligible to participate in the survey. Charter schools that submit a roster then

have the opportunity to update their roster after the close of survey administration to remove any teachers that are no longer employed by their school. The teacher survey population for charter schools is determined using this information. If a charter school does not submit a roster prior to the start of survey administration, the teacher survey population is estimated based on student enrollment.

Some survey questions do not fall within the list of elements and measures in the Appendix. Are these questions counted?

Survey questions that do not fall within the list of elements and measures in the Appendix do not contribute to the percentage of positive responses for each element. These questions collect important information about a variety of topics that help schools leaders and other stakeholders better understand schools' strengths and target areas for improvement. These questions are included in the "Additional Survey Questions" section of the School Quality Guide.

The sum of response percentages for one survey question does not equal 100%. Why?

For purposes of display, percentages are rounded to the second decimal place. As a result, the sum of the displayed response percentages may not appear to add up to 100% for all questions. For example, if the percentages used for calculation for a question are 49.5% Strongly Agree and 50.5% Agree, they are displayed in rounded form as 50% and 51%. Likewise, if the true percentages are $33\frac{1}{3}$ % Strongly Agree, $33\frac{1}{3}$ % Agree, and $33\frac{1}{3}$ % and Disagree, they are displayed as 33%, 33%, and 33%.

How are blank responses handled?

Survey questions that do not fall within the list of elements and measures in the Appendix do not contribute to the percentage of positive responses for each element. These questions collect important information about a variety of topics that help schools leaders and other stakeholders better understand schools' strengths and target areas for improvement. These questions can be found in the "Additional Survey Questions" section of the School Quality Guide.

How are multiple responses treated on the NYC School Survey Report?

Answer choices of respondents who selected multiple answer choices to questions that did not indicate "check all that apply" are not reported and are not included in percentage of positive responses.