NYC DOE Division of Early Childhood Education NYCEEC Occurrence Report



You may type directly into this form and save the document (no need to print and scan). When you have completed the form, please email the file to your Program Support Specialist (PSS) with your program's site ID in the subject line.

SECTION I			
Program Name:			
Program Site ID:			
Today's Date:			
Does the alleged occurrence involve a child (or children) in a NYC ☐ Yes ☐ No	DOE-contracted	classroom (i.e. not pr	rivate-pay)?
Does the alleged occurrence involve a staff member or volunteer classroom or their records? Yes No	with access to ch	ildren enrolled in a N	IYCDOE-contracted
If the answer to both of the above questions is "no," you do not need to your Policy Support Specialist.	o complete the oth	er sections of the repo	rt. Please sign and return
Information about Child Allegedly Involved in Occurrence			
Name (First and Last):			
OSIS # (if child is in a DOE-funded classroom):			
Home Address:			Apt.:
City:	State:	Zip Code:	
Borough:	Telephone:		
Was this child injured? <i>If yes, please complete Section 6 of this report.</i> ☐ Yes ☐ No			
☐ Parental/Legal Guardian Contacted (name and relationship):			
Date: Time:	Caller:		
Please proceed to Section 2 on the next page if the alleged occurre children enrolled in a NYCDOE-contracted classroom or their reco		ff member or volunte	er with access to

SECTION 2 - STAFF MEMBER OR VOLUNTEER INFORMATION

Employee allegedly involved in the occurrence Name (First and Last): _____ Name not known Home Address: ______ Apt.: _____ City: ______ State: ____ Zip Code: _____ Borough: Telephone: Was this person injured? (If yes, you must also complete Section 6 of this report) ☐ Yes ☐ No Please proceed to Section 3. **SECTION 3 - OCCURRENCE DETAILS** Date and Time of Alleged Occurrence: Date and Time Program Director was informed: ______ Did the alleged occurrence happen during NYC-contracted program hours? **Program Narrative** Please describe the alleged occurrence in simple language (who, what, when, where, and how), and how the reporter learned of the alleged occurrence. Please provide steps taken to investigate the allegation(s) and note if your program has found evidence that the allegation occurred. Avoid legal terms such as assault, harass, etc. Written statements by reporters and any observer(s) of the alleged occurrence must be submitted to your Operations Analyst. Statements must include the date, time, location of alleged occurrence, and a description of what occurred. Were written statements obtained? ☐ Yes ☐ No Please proceed to the next page.

______ 2 __

Please select the category that best fits the alleged occurrence:
☐ Injury or Medical Condition Involving Call to 911, EMS Transport, or Emergency Room Visit
☐ Child Abuse and/or Maltreatment Allegation Involving Staff (including Corporal Punishment)
 Inflicting of physical injury by other than accidental means, creating a substantial risk of serious physical injury, or committing an act of sex abuse against the child Failing to exercise the minimum degree of care in providing the child with food, clothing, shelter, or engaging in use of drugs or alcohol such that it interferes with the ability to adequately supervise the child Child leaves building unattended or with an unauthorized escort Child found unattended in a room, stairwell, hallway etc. Corporal punishment is any act of physical force upon a pupil for the purpose of punishing that pupil
□ Verbal Abuse Allegation Involving Staff
• Directing oral or written language toward a child that: belittles, embarrasses, or subjects them to ridicule; interferes with a child's educational performance or mental, emotional, or physical well-being; or causes them to expect physical or emotional harm or fear for their safety
□ Other
Please proceed to Section 4 in the next page for reporting requirements to ensure you have fulfilled them. <u>As a reminder, you and your staff members are mandated reporters per New York State Social Services Law</u> .

SECTION 4 - REPORTING REQUIREMENTS

Please include call ID/case/complaint numbers in the next section of this report (see Agency Notifications)

If a child is lost or missing from your program for any reason or there is reason to suspect that a crime has occurred, you must call g11 immediately before reporting to the investigative agencies, as set forth below. Per New York State Social Services Law, you are mandated to report alleged incidents of abuse to the appropriate investigative agencies.

Article 47 Group Day Care (GDCs) or Office Of Children and Family Services (OCFS) License Holders:

If the allegation concerns child abuse/maltreatment, the allegation must be reported to the following agencies immediately.

- Statewide Central Register for Child Abuse and Maltreatment (SCR): 800-635-1522
- Special Commissioner of Investigation (SCI): 212-510-1500
- Department of Health and Mental Hygiene (DOHMH): 646-632-6101

If the allegation concerns something other than child abuse/maltreatment or a lost child, the allegation must be reported immediately.

- Special Commissioner of Investigation (SCI): 212-510-1500
- Department of Health and Mental Hygiene (DOHMH): 646-632-6101

Article 43 School-Based Child Care (SBCC) License Holders:

All allegations must be reported immediately to the following agencies.

- Special Commissioner of Investigation (SCI): 212-510-1500
- Department of Health and Mental Hygiene (DOHMH): 646-632-6101

NOTE: All allegations of abuse by a parent, guardian, custodian, or any person regularly residing in the home must be immediately reported to Statewide Central Register for Child Abuse and Maltreatment (SCR): 800-510-1500

Reporting Summary Table:

Program Setting	Allegation Involves Program Staff/Volunteer or Children
 Article 47/GDCs OCFS License Holders 	 Statewide Central Register for Child Abuse and Maltreatment (SCR): 800-510-1500 For allegations that involve child abuse/maltreatment Special Commissioner of Investigation (SCI): 212-510-1500 Department of Health and Mental Hygiene (DOHMH): 646-632-6101
Article 43/SBCCs	 Special Commissioner of Investigation (SCI): 212-510-1500 Department of Health and Mental Hygiene (DOHMH): 646-632-6101

If you selected another concern, please proceed to Section 5 on the next page.

SECTION 5 - AGENCY NOTIFICATIONS

Agency Notifications (see reporting requirements section) Reporter Name (DOE and/or NYCEEC staff only): ☐ Check this box if the State Central Register for Child Abuse and Maltreatment (SCR) was contacted ☐ Check this box if the report was accepted Please provide the SCR Call ID Number: Note: An LDSS-2221A form must be submitted to SCR and a copy must be sent to your Program Support Specialist (PSS). ☐ Check this box if the report was not accepted Please provide the Operator Name (person who answers hotline): Time of call: Date of call: ☐ Check this box if the Office of the Special Commissioner of Investigation (SCI) was contacted (all program types must report to SCI) Please provide the SCI Report ID Number: ☐ Check this box if the Department of Health and Mental Hygiene (DOHMH) was contacted ☐ Check this box if the report was accepted Please provide the DOHMH Complaint ID: Note: Please submit a copy of the investigation report you receive from DOHMH to your Program Support Specialist, in addition to this report. ☐ Check this box if the report was not accepted Please provide the Operator Name (person who answers hotline): Time of call: _____ Date of call: **Emergency Services** Who responded to the alleged occurrence? ☐ FDNY ☐ EMS ☐ NYPD ☐ Program Staff Only ☐ Other Was NYPD action taken? ☐ Yes ☐ No If the alleged incident involves a child or staff injury, please proceed to Section 6 on the next page. If not, please proceed to Section 7.

______ 5 —

SECTION 6 - COMPREHENSIVE INJURY/HARM REPORT

Child and/or Staff Name(s):
Specific Activity During Which Injury Occurred (e.g. blocks):
Part of Body Injured:
Was first aid administered? ☐ Yes ☐ No
Did an ambulance respond to the incident? ☐ Yes ☐ No
Did the injured person or the person's guardian refuse medical attention? ☐ Yes ☐ No
Please describe the injury (below).
Treatment
☐ Onsite by EMS ☐ Onsite by Non-medical Program Personnel ☐ Onsite by Program Medical Personnel ☐ By a Personal Doctor ☐ Injured person transported by EMS

SECTION 7 - PROGRAM NEXT STEPS

Program F	Response
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Please describe actions taken at the the time of the incident or in response to the allegation. If applicable, please detail below the staffing or programmatic changes you have made, or will make, in response to the occurrence.

SECTION 8 - Signatures and Submission

This organization hereby acknowledges that the staff member/members or volunteers noted in this report is/are the subject of investigation by the proper agencies. The Organization will follow the policies and procedure outlined in their personnel policies Code of Conduct to address behavior contrary to expectations for the health, safety, and well-being of the children in our program.

Please fill in and sign the fields below and submit this report with copies of the corresponding notes from the Department of Health and Mental Hygiene.

Occurrence Report Prepared by:
Occurrence Report Preparer's Title:
Occurrence Report Preparer's Phone Number:
Occurrence Report Preparer's Email:
Occurrence Report Preparer's Signature:
Board Member Signature (as applicable):
DAPC/PAC Representative Signature (as applicable):

Accessibility Report

Filename: fy19_nyceec_occurrencereport_ADA.pdf

Report created by: [Enter personal and organization information through the Preferences > Identity dialog.]

Organization:

Summary

The checker found no problems in this document.

Needs manual check: 2Passed manually: 0Failed manually: 0

Skipped: 1Passed: 29Failed: 0